| TRANSMITTA | Docket No. KIM-10113 | | | | | | | |
|---|-------------------------|------------------|--------------|----------------|------------------|--|--|--|
| In Re Application Of: NAM, et al. | | | | | | | | |
| Application No. Filing Date | | Examiner | Customer No. | Group Art Unit | Confirmation No. | | | |
| 10/686,389 10/14/2003 | | Not Yet Assigned | 23123 | | 6308 | | | |
| Title: PREPROCESSING OF DIGITAL AUDIO DATA FOR MOBILE AUDIO CODECS | | | | | | | | |
| Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | | | | |
| | | 37 CFR 1.97(b) | | | | | | |
| The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 | | | | | | | | |
| CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | | | | | |
| the statement specified in 37 CFR 1.97(e); | | | | | | | | |
| OR | | | | | | | | |
| une · | fee set forth in 37 CF | | | | | | | |

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | | Docket No. KIM-10113 | | | |
|--|---|---|---|--|--|--|--|
| In Re Application o | f: NAM, et al. | | | | | | |
| Application No. | oplication No. Filing Date Examiner 10/686,389 10/14/2003 Not Yet Assigned | | | Customer No. | Group Art Unit | Confirmation No. | |
| 10/686,389 | | | ned | 23123 | | | |
| Title: PREPROC | ESSING OF DIGITA | | | AUDIO CODI | ECS | | |
| | (Only cor | Payme nplete if Applicant elect | ent of Fee s to pay the fo | ee set forth in 37 | CFR 1.17(p)) | | |
| The Director as described as described as described as described as described as described and the control of t | the amount of or is hereby authorized below. arge the amount of edit any overpayment arge any additional for credit card. Form P: Information on this form. Provide the of Transmission be document and authorizating facsimile transmitted lemark Office (Fa | t. ee required. TO-2038 is attached s form may become e credit card inform y Facsimile* | e public. Canation and a Cer I hereby cowith the Unas first | redit card info authorization etificate of Mail ertify that this con nited States Posta class mail in | ormation should on PTO-2038. ing by First Clast respondence is being all Service with sufficient envelope ac P.O. Box 1450, Ale | s Mail ing deposited cient postage ddressed to | |
| (Date) | | | 22313-145 | 0" [37 CFR 1.8(a)] ary 11, 2006 | on | | |
| | Signature | | | Signature of Per | son Mailing Correspo | | |
| Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate Typed or Printed Name of Person Mailing Certificate | | | | | ertificate | | |
| Albert L. Schmeiser | EN & WATTS LLP | if paying by | Dated: J | January 11, 200 | 06 | | |
| cc. | | | | | | | |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.99

Applicant:

Nam, et al.

Docket No.: KIM-10113

FOR:

JAN 13 2006

PREPROCESSING OF DIGITAL AUDIO DATA FOR MOBILE AUDIO

CODECS

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.99, Applicant(s) hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO-1449. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Further, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

This Information Disclosure Statement is being filed before the mailing of a first office

action, therefore, no fee is required.

Respectfully submitted,

Dated: <u>January 11, 2006</u>

Albert L. Schmeiser

Reg. No.: 30, 681

Enclosures:

PTO-1449

Attorney Docket No.: KIM-10113

| Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 8-83) PATENT AND TRADEMARK OFFICE | | | ATTY. DOCKET NO. KIM-10113 | | SERIAL NO. 10/686,389 | | | | |
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| OIPE 4NFORMATION DISCLOSURE CITATION | | | APPLICANT Nam, et al. | | | | | | |
| JAN 13 2006 (Use several sheets if necessary) | | | FILING DATE GROUP 10/14/2003 2644 | | | | | | |
| The state of the s | U. S. PATENT | | | | T DOCUMENTS | | | | |
| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | A 1 | FILING DATE IF PPROPRIATE | |
| INTIAL | KLI | 5,742,734 | 04/1998 | DeJaco, et al. | CLASS | CLASS | A | FROFRIATE | |
| | | 6,104,992 | 08/2000 | Gao, et al. | | | | - | |
| | <u> </u> | 6,694,293 | 02/2004 | Benyassine, et al. | <u> </u> | | | | |
| | | 0,074,275 | 02/2004 | Benyassine, et al. | | | | | |
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| | | DOCUMENT | PUBLICATION | | | SUB- | TF | RANSLATION | |
| | REF | NUMBER | DATE | COUNTRY | CLASS | CLASS | Y E S | NO | |
| | | WO 02/065457 A | 08/2002 | Benyassine, et al. | | | | | |
| | | | | | | | | | |
| ļ | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
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| EXAMINER | | DATE CONSIDERED | | | | | | | |
| *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | | |